

Docket No. 209467US2S/ams

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



IN RE APPLICATION OF: Shuji HARASHIMA, et al.

SERIAL NO: 09/873,251

GAU: 2171

FILED: June 5, 2001

EXAMINER:

FOR: A PROGRAM EXECUTING MANAGEMENT SYSTEM, A COMPUTER PROGRAM PRODUCT AND A PROCESS EXECUTING MANAGEMENT METHOD

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

**RECEIVED**

SIR:

Applicant(s) wish to disclose the following information.

**MAR 25 2004**

Technology Center 2100

**REFERENCES**

The applicant(s) wish to make of record the references cited in the attached Japanese Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

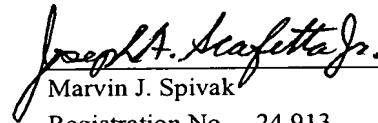
No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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(Translation)

Mailed: February 24, 2004

NOTIFICATION OF REASONS FOR REJECTION

Patent Application No.: 2000-168993

Examiner's Notice Date: February 20, 2004

Examiner: H. Aisaki

This application is rejected on the grounds stated below. Any opinion about the rejection must be filed within 60 DAYS of the mailing date hereof.

REASON

The invention is unpatentable under Section 29 (2) of the Patent Law, as being such that the invention could easily have been made by a person with ordinary skill in the art to which the invention pertains, on the basis of the invention described in the following publication distributed in Japan or a foreign country prior to this application.

REMARKS

Re: Claims 1-7

Reference 1 is applicable.

The compensating transaction described in reference 1 (refer particular to the detailed description on pages 7-20), which makes up a saga chain 310 and is mapped to a subtransaction one-to-one (refer to page 10, line 20, and the following lines), corresponds to the "recovery processing element to be executed when an abnormality occurs (stored for each identification information of a plurality of processing elements (subtransactions))' of the present invention.

The rest is a matter of design.

If a new reason for rejection is noticed, a further Official Action will be issued.

Reference Cited:

1. PCT International Publication No. WO 99/46674

MAR 23 2004

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 209467US2S	PATENT & TRADEMARK OFFICE		SERIAL NO. 09/873,251
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Shuji HARASHIMA, et al.					
		FILING DATE June 5, 2001		GROUP 2171			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
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	AG						
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	AJ						
	AK						
	AL						
	AM						
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<b>RECEIVED</b>							
MAR 25 2004							
Technology Center 2100							
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO		
	AO	WO 99/46674	09/16/1999	WIPO			
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							